## Counting modular forms with a Galois representation mod $p$ and the Atkin-Lehner eigenvalue at $p$ fixed simultaneously

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## Introduction

## Modular forms

Let $n$ be a positive integer, the congruence subgroup $\Gamma_{0}(n)$ is a subgroup of $\mathrm{SL}_{2}(\mathbb{Z})$ given by

$$
\Gamma_{0}(n)=\left\{\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right) \in \mathrm{SL}_{2}(\mathbb{Z}): n \mid c\right\} .
$$

Given a pair of positive integers $n$ (level) and $k$ (weight), a modular form $f$ for $\Gamma_{0}(n)$ is an holomorphic function on the complex upper half-plane $\mathbb{H}$ satisfying

$$
f(\gamma z)=f\left(\frac{a z+b}{c z+d}\right)=(c z+d)^{k} f(z) \quad \forall \gamma \in \Gamma_{0}(n), z \in \mathbb{H}
$$

and a growth condition for the coefficients of its power series expansion

$$
f(z)=\sum_{0}^{\infty} a_{m} q^{m}, \quad \text { where } \quad q=e^{2 \pi i z}
$$

## Newforms

There are families of operators acting on the space of modular forms. In particular, the Hecke operators $T_{p}$ for every prime $p$. These operators describe the interplay between different group actions on the complex upper half-plane.

We will consider only cuspidal newforms: cuspidal modular forms ( $a_{0}=0$ ), normalized $\left(a_{1}=1\right)$, which are eigenforms for the Hecke operators and arise from level $n$.

We will denote by $S_{k}(n, \mathbb{C})$ the space of cuspforms and by $S_{k}(n, \mathbb{C})^{\text {new }}$ the subspace of newforms.

## Hecke eigenvalue field

## Definition

Let $f$ be a newform, $f=\sum a_{m} q^{m}$. Then $\mathbb{Q}_{f}=\mathbb{Q}\left(\left\{a_{m}\right\}\right)$ is a number field, called the Hecke eigenvalue field of $f$.
The set $\left\{a_{m}\right\}$ is a Hecke eigenvalue system.

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## Example: $S_{2}(77, \mathbb{C})^{\text {new }}$

$f_{0}(q)=q-3 q^{3}-2 q^{4}-q^{5}-q^{7}+6 q^{9}-q^{11}+6 q^{12}-4 q^{13}+3 q^{15}+\ldots$
$f_{1}(q)=q+q^{3}-2 q^{4}+3 q^{5}+q^{7}-2 q^{9}-q^{11}-2 q^{12}-4 q^{13}+3 q^{15}+\ldots$
$f_{2}(q)=q+q^{2}+2 q^{3}-q^{4}-2 q^{5}+2 q^{6}-q^{7}-3 q^{8}+q^{9}-2 q^{10}+q^{11}+\ldots$
$f_{3,4}(q)=q+\alpha q^{2}+(-\alpha+1) q^{3}+3 q^{4}-2 q^{5}+(\alpha-5) q^{6}+q^{7}+\ldots$ where $\alpha$ satisfies $x^{2}-5=0$.
The Hecke eigenvalue fields are $\mathbb{Q}$ for $f_{0}, f_{1}, f_{2}$ and $\mathbb{Q}(\sqrt{5})$ for $f_{3,4}$.

## Hecke algebra

## Definition

The Hecke algebra $\mathbb{T}(n, k)$ is the $\mathbb{Z}$-subalgebra of $\operatorname{End}_{\mathbb{C}}\left(S_{k}(n, \mathbb{C})\right)$ generated by Hecke operators $T_{p}$ for every prime $p$.

Newforms can be seen as ring homomorphisms $f: \mathbb{T}(n, k) \rightarrow \overline{\mathbb{Q}}$.

## Residual modular Galois representations

## Theorem (Deligne, Serre, Shimura)

Let $n$ and $k$ be positive integers. Let $\mathbb{F}$ be a finite field of characteristic $\ell$, with $\ell \nmid n$, and $f: \mathbb{T}(n, k) \rightarrow \mathbb{F}$ a surjective ring homomorphism. Then there is a (unique) continuous semi-simple representation:

$$
\bar{\rho}_{f}: \operatorname{Gal}(\overline{\mathbb{Q}} / \mathbb{Q}) \rightarrow \mathrm{GL}_{2}(\mathbb{F}),
$$

unramified outside $n \ell$, such that for all $p$ not dividing $n \ell$ we have:

$$
\operatorname{Tr}\left(\bar{\rho}_{f}\left(\operatorname{Frob}_{p}\right)\right)=f\left(T_{p}\right) \text { and } \operatorname{det}\left(\bar{\rho}_{f}\left(\operatorname{Frob}_{p}\right)\right)=f(\langle p\rangle) p^{k-1} \text { in } \mathbb{F}
$$

Computing $\bar{\rho}_{f}$ is "difficult", but theoretically it can be done in polynomial time in $n, k, \# \mathbb{F}$ :

Edixhoven, Couveignes, de Jong, Merkl, Bruin, Bosman (\#F $\leq 32$ ):
Example: for $n=1, k=22$ and $\ell=23$, the number field corresponding to $\mathbb{P} \bar{\rho}_{f}$ (Galois group isomorphic to $\mathrm{PGL}_{2}\left(\mathbb{F}_{23}\right)$ ) is given by:

$$
\begin{aligned}
x^{24} & -2 x^{23}+115 x^{22}+23 x^{21}+1909 x^{20}+22218 x^{19}+9223 x^{18}+121141 x^{17} \\
& +1837654 x^{16}-800032 x^{15}+9856374 x^{14}+52362168 x^{13}-32040725 x^{12} \\
& +279370098 x^{11}+1464085056 x^{10}+1129229689 x^{9}+3299556862 x^{8} \\
& +14586202192 x^{7}+29414918270 x^{6}+45332850431 x^{5}-6437110763 x^{4} \\
& -111429920358 x^{3}-12449542097 x^{2}+93960798341 x-31890957224
\end{aligned}
$$

Mascot, Zeng, Tian ( $\# \mathbb{F} \leq 53$ ).

## p-new forms

Fix $p \geq 5$ a prime, $N$ a level prime to $p$, and let $k \geq 2$ be a weight.
Let $S_{k}:=S_{k}\left(\Gamma_{0}(N p), \overline{\mathbb{Q}}_{p}\right)$ be the space of $p$-new (i.e., not coming from level $N$ ) cuspidal modular forms of level $N p$ and weight $k$ with coefficients in $\overline{\mathbb{Q}}_{p}$.

## The Atkin-Lehner involution

## The Atkin-Lehner involution

Fix $p \geq 5$ a prime and $N$ a level prime to $p$, there exist $x, y, z, t \in \mathbb{Z}$ for which the matrix

$$
W_{p}=\left(\begin{array}{cc}
p x & y \\
N p z & p t
\end{array}\right)
$$

has determinant $p$.

The matrix $W_{p}$ normalizes the group $\Gamma_{0}(N p)$, and for any weight $k$ it induces a linear operator $w_{p}$ on the space of cusp forms $S_{k}$ that commutes with the Hecke operators $T_{q}$ for all $q \nmid n$ and acts as its own inverse.

## The Atkin-Lehner involution

The linear operator $w_{p}$ does not depend on the choice of $x, y, z, t$ and is called the Atkin-Lehner involution of $S_{k}$.

Any cusp form $f$ in $S_{k}$ which is an eigenform for all $T_{q}$ with $q \nmid N$ is also an eigenform for $w_{p}$, with eigenvalue $\pm 1$.

The matrix $W_{p}$ induces an automorphism of the modular curve $X_{0}(N p)$ that is also denoted $w_{p}$.

The Atkin-Lehner involution $w_{p}$ acts on $S_{k}$ and splits it into a direct sum of plus/minus eigenspaces:

$$
S_{k}=S_{k}^{+} \oplus S_{k}^{-}
$$

Since we have dimension formulas for $s_{k}:=\operatorname{dim} S_{k}$, in order to understand the dimensions $s_{k}^{ \pm}=\operatorname{dim} S_{k}^{ \pm}$of the Atkin-Lehner eigenspaces, it suffices to understand the difference

$$
d_{k}:=s_{k}^{+}-s_{k}^{-}
$$

## $s_{k}^{ \pm}=\operatorname{dim} S_{k}(p)^{ \pm}$

| $p=5$ |  |  | $p=11$ |  |  | $p=59$ |  |  | $p=101$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| k | $s_{k}^{+}$ | $s_{k}^{-}$ | k | $s_{k}^{+}$ | $s_{k}^{-}$ | $k$ | $s_{k}^{+}$ | $s_{k}^{-}$ | $k$ | $s_{k}^{+}$ | $s_{k}^{-}$ |
| 2 | 0 | 0 | 2 | 0 | 1 | 2 | 0 | 5 | 2 | 1 | 7 |
| 4 | 1 | 0 | 4 | 2 | 0 | 4 | 10 | 4 | 4 | 16 | 9 |
| 6 | 0 | 1 | 6 | 1 | 3 | 6 | 9 | 15 | 6 | 17 | 24 |
| 8 | 2 | 1 | 8 | 4 | 2 | 8 | 20 | 14 | 8 | 33 | 26 |
| 10 | 1 | 2 | 10 | 3 | 5 | 10 | 19 | 25 | 10 | 34 | 41 |
| 12 | 3 | 2 | 12 | 6 | 4 | 12 | 30 | 24 | 12 | 50 | 43 |
| 14 | 2 | 3 | 14 | 5 | 7 | 14 | 29 | 35 | 14 | 51 | 58 |
| 16 | 4 | 3 | 16 | 8 | 6 | 16 | 40 | 34 | 16 | 67 | 60 |
| 18 | 3 | 4 | 18 | 7 | 9 | 18 | 39 | 45 | 18 | 68 | 75 |
| 20 | 5 | 4 | 20 | 10 | 8 | 20 | 50 | 44 | 20 | 84 | 77 |
| 22 | 4 | 5 | 22 | 9 | 11 | 22 | 49 | 55 | 22 | 85 | 92 |
| 24 | 6 | 5 | 24 | 12 | 10 | 24 | 60 | 54 | 24 | 101 | 94 |
| 26 | 5 | 6 | 26 | 11 | 13 | 26 | 59 | 65 | 26 | 102 | 109 |
| 28 | 7 | 6 | 28 | 14 | 12 | 28 | 70 | 64 | 28 | 118 | 111 |
| 30 | 6 | 7 | 30 | 13 | 15 | 30 | 69 | 75 | 30 | 119 | 126 |
| $d_{k}= \pm 1$ |  |  | $d_{k}= \pm 2$ |  |  | $d_{k}= \pm 6$ |  |  | $d_{k}= \pm 7$ |  |  |

Classical result that $d_{k}$ is constant in absolute value and alternates in sign, modulo a correction for the "missing" $E_{2}$ in weight 2: Let

$$
d_{k}^{*}:= \begin{cases}d_{k}-1 & \text { if } k=2 \\ d_{k}, & \text { if } k \geq 4 \text { even }\end{cases}
$$

# Theorem (Fricke, Yamauchi, Momose, Ogg, Wakatsuki, Helfgott, Martin et al.) 

We have

$$
d_{k}^{*}=(-1)^{\frac{k}{2}} \frac{\# F P}{2},
$$

where \#FP is the number of fixed points of the Atkin-Lehner involution $w_{p}$ on $X_{0}(N p)$.

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$$
\# \mathrm{FP}=c_{p} \cdot h(\sqrt{-p}) \cdot \prod_{q \mid N, \text { prime }}\left(1+\left(\frac{-4 p}{q}\right)\right)
$$

A refinement - Main theorem

## Our aim - joint work with A. Ghitza (University of Melbourne) and A. Medvedovsky (Boston University)

Systems of mod-p prime-to- $N p$ Hecke eigenvalues correspond to continuous semisimple Galois representations $\operatorname{Gal}(\overline{\mathbb{Q}} / \mathbb{Q}) \rightarrow \mathrm{GL}_{2}\left(\overline{\mathbb{F}_{p}}\right)$ which are odd and unramified outside $N p$.

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We can decompose

$$
S_{k}=\bigoplus_{\bar{\rho}} S_{k, \bar{\rho}},
$$

where $S_{k, \bar{\rho}}$ is the span of eigenforms with mod- $p$ eigensystems corresponding to $\bar{\rho}$.

Since $w_{p}$ commutes with prime-to- $N p$ Hecke operators, $S_{k, \bar{\rho}}$ also splits into Atkin-Lehner eigenspaces:

$$
S_{k, \bar{\rho}}=S_{k, \bar{\rho}}^{+} \oplus S_{k, \bar{\rho}}^{-},
$$

once again let $s_{k, \bar{\rho}}^{ \pm}$denote $\operatorname{dim} S_{k, \bar{\rho}}^{ \pm}$.

The behavior of dimensions $s_{k, \bar{\rho}}:=\operatorname{dim} S_{k, \bar{\rho}}$ have been studied by Jochnowitz ( $N=1$ ) and Bergdall-Pollack, so once again we focus on the dimension difference $d_{k, \bar{\rho}}:=s_{k, \bar{\rho}}^{+}-s_{k, \bar{\rho}}^{-}$.

As before, $k=2$ and $\bar{\rho}$ forces us to make an adjustment, so let

$$
d_{k, \bar{\rho}}^{*}:= \begin{cases}d_{k, \bar{\rho}}-1, & \text { if } k=2 \text { and } \bar{\rho}=1 \oplus \omega \\ d_{k, \bar{\rho}}, & \text { otherwise }\end{cases}
$$

where $\omega$ is the $\bmod p$ cyclotomic character.

## Theorem (Anni, Ghitza, Medvedovsky)

For $k \geq 2$ and any $\Gamma_{0}(N p)$-modular $\bar{\rho}$ we have

$$
d_{k+2, \bar{\rho}[1]}^{*}=-d_{k, \bar{\rho}}^{*} .
$$

Here $\bar{\rho}[1]$ is a Tate twist: on the Galois side it corresponds to tensoring $\bar{\rho}$ by the mod- $p$ cyclotomic character $\omega$; on the Hecke side by having $T_{\ell}$ act by $\ell T_{\ell}$.

From this result and related formulations we recover both the classical alternation statement $d_{k+2}^{*}=-d_{k}^{*}$ and the Bergdall-Pollack dimension formulas, but with very different techniques.

Example: $p=5, N=23$

| $k$ | $\left(s_{k}^{+}, s_{k}^{-}\right)$ |
| :---: | :---: |
| 2 | $(5,6)$ |
| 4 | $(18,16)$ |
| 6 | $(28,30)$ |
| 8 | $(42,40)$ |
| 10 | $(52,54)$ |
| 12 | $(66,64)$ |
| 14 | $(76,78)$ |
| 16 | $(90,88)$ |
| 18 | $(100,102)$ |
| 20 | $(114,112)$ |
| $d_{k}= \pm 2$ |  |

## Example: $p=5, N=23$

In weight $k$ for $\bar{\rho}$ the entry is $\left(s_{k, \tau}^{+}, s_{k, \tau}^{-}\right)$for $\tau=\bar{\rho}\left[\frac{k-2}{2}\right]$.
Two twists of $\bar{\rho}$ can appear in any given weight: $\bar{\rho}$ and its quadratic twist $\bar{\rho}^{\prime}=\bar{\rho}[2]=\bar{\rho} \otimes \omega^{2}$.

- $e$ is the Eisenstein thread: $e=1 \oplus \omega$ in weight 2;
- $p$ is a peu ramifié form, appearing in weight 2 ;
- $t$ is a très ramifié form, here appearing in weight 2 ;
 weight 2;
- $f, g, h$ are locally reducible, globally irreducible forms; $h$ is an $\mathbb{F}_{5^{3}}$-orbit of 3 forms.


## Example: $p=5, N=23$

| $k \backslash \bar{\rho}$ | $e$ | $e^{\prime}$ | $p$ | $p^{\prime}$ | $t$ | $t^{\prime}$ | $s \times 4$ | $s^{\prime} \times 4$ | $\begin{gathered} f, f^{\prime} ; g, g^{\prime} ; \\ h, h^{\prime} \times 3 \end{gathered}$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | $(0,0)$ | $(0,0)$ | $(3,2)$ | $(0,0)$ | $(2,0)$ | $(0,0)$ | $(0,1)$ | $(0,0)$ | $(0,0)$ | $(5,6)$ |
| 4 | $(2,1)$ | $(0,0)$ | $(2,3)$ | $(0,0)$ | $(0,2)$ | $(0,0)$ | $(1,0)$ | $(0,0)$ | $(1,1)$ | $(18,16)$ |
| 6 | $(1,2)$ | $(1,1)$ | $(3,2)$ | $(5,5)$ | $(2,0)$ | $(2,2)$ | $(0,1)$ | $(1,1)$ | $(1,1)$ | $(28,30)$ |
| 8 | $(2,1)$ | $(3,3)$ | $(2,3)$ | $(5,5)$ | $(0,2)$ | $(2,2)$ | $(1,0)$ | $(1,1)$ | $(2,2)$ | $(42,40)$ |
| 10 | $(2,3)$ | $(3,3)$ | $(8,7)$ | $(5,5)$ | $(4,2)$ | $(2,2)$ | $(1,2)$ | $(1,1)$ | $(2,2)$ | $(52,54)$ |
| 12 | $(5,4)$ | $(3,3)$ | $(7,8)$ | $(5,5)$ | $(2,4)$ | $(2,2)$ | $(2,1)$ | $(1,1)$ | $(3,3)$ | $(66,64)$ |
| 14 | $(4,5)$ | $(4,4)$ | $(8,7)$ | $(10,10)$ | $(4,2)$ | $(4,4)$ | $(1,2)$ | $(2,2)$ | $(3,3)$ | $(76,78)$ |
| 16 | $(5,4)$ | $(6,6)$ | $(7,8)$ | $(10,10)$ | $(2,4)$ | $(4,4)$ | $(2,1)$ | $(2,2)$ | $(4,4)$ | $(90,88)$ |
| 18 | $(5,6)$ | $(6,6)$ | $(13,12)$ | $(10,10)$ | $(6,4)$ | $(4,4)$ | $(2,3)$ | $(2,2)$ | $(4,4)$ | $(100,102)$ |
| 20 | $(8,7)$ | $(6,6)$ | $(12,13)$ | $(10,10)$ | $(4,6)$ | $(4,4)$ | $(3,2)$ | $(2,2)$ | $(5,5)$ | $(114,112)$ |

Bergdall and Pollack use Ash-Stevens, a fundamentally characteristic $p$ technique for filtering cohomology of modular symbols, to derive their dimension formulas. But Ash-Stevens has nothing to say about Atkin-Lehner, in part because the Atkin-Lehner operator requires inverting $p$.

On the other hand, the classical complex methods - trace formulae, Gauss-Bonnet, Riemann-Hurwitz - do not know anything about $\bar{\rho}$.

## Idea

Combining the trace formula (Zagier - Cohen - Osterlé - Cohen Strömberg and Skoruppa - Zagier - Popa) with an algebra theorem, a refinement of Brauer-Nesbitt.

An algebra theorem, and the method of proof for the main theorem

## The basic question

Let $M$ be a finite free $\mathbb{Z}_{p}$-module with an action of a linear operator $T$.

## Question

How much information does one need to know about the traces of $\mathbb{Z}_{p}[T]$ acting on $M$ in order to know the structure of $M \otimes \mathbb{F}_{p}$ as an $\mathbb{F}_{p}[T]$-module, at least up to semisimplification?

Knowing $\operatorname{Tr}\left(T^{n} \mid M\right)$ for enough $n$ as an element of $\mathbb{Z}_{p}$ is plenty:

## Theorem (Brauer-Nesbitt)

Let $k$ be a field and $V$ a $k[T]$-module that is finite-dimensional as a $k$-vector space. If $k$ has characteristic zero or if char $k>\operatorname{dim}_{k} V$, then $V$ is determined up to semisimplification by $\operatorname{Tr}\left(T^{n} \mid V\right)$ for all $n$ with $1 \leq n \leq \operatorname{dim}_{k} V$.

But this very precise characteristic-zero information is much more than we need: we merely want to understand $M$ modulo $p$.

On the other hand, knowing all the $\operatorname{Tr}\left(T^{n} \mid M\right)$ modulo $p$ is not enough to determine $M \otimes \mathbb{F}_{p}$.

## Example

If $M$ has rank $p$ and $T$ acts on $M$ as multiplication by a scalar $\alpha$ in $\mathbb{Z}_{p}$ then $\operatorname{Tr}\left(T^{n} \mid M\right)=p \alpha^{n}$ for all $n \geq 0$. Thus $\operatorname{Tr}\left(T^{n} \mid M\right) \equiv 0$ $\bmod p$ for all $n$, and we cannot recover $\alpha \bmod p$ from this trace data.

Since knowing $\operatorname{Tr}\left(T^{n} \mid M\right)$ in $\mathbb{Z}_{p}$ is too much and knowing $\operatorname{Tr}\left(T^{n} \mid M\right)$ modulo $p$ is not enough, one can ask for some kind of in-between criterion depending on $\operatorname{Tr}\left(T^{n} \mid M\right)$ modulo powers of $p$.

## Theorem (Anni, Ghitza, Medvedovsky + Gessel)

Let $M$ and $N$ be two finite free $\mathbb{Z}_{p}$-modules of the same rank $d$, each with an action of an operator $T$. Then $\bar{M}^{\text {ss }} \cong \bar{N}^{\text {ss }}$ as $\mathbb{F}_{p}[T]$-modules if and only if for every $n$ with $1 \leq n \leq d$ we have

$$
\operatorname{Tr}\left(T^{n} \mid M\right) \equiv \operatorname{Tr}\left(T^{n} \mid N\right) \quad \bmod p n
$$

Here $\bar{M}$ and $\bar{N}$ are the $\mathbb{F}_{p}[T]$-modules $M \otimes \mathbb{F}_{p}$ and $N \otimes \mathbb{F}_{p}$, respectively, and $\bar{M}^{\text {ss }}$ and $\bar{N}^{\text {ss }}$ refers to their semisimplification.

Remarks

## Remarks

- Since every prime except $p$ is invertible, congruence modulo $p n$ is the same as congruence modulo $p^{1+v_{p}(n)}$, where $v_{p}: \mathbb{Z}_{p} \rightarrow \mathbb{Z}_{\geq 0}$ is the normalized $p$-adic valuation.


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- This completely resolves our example with $T=\alpha$ acting on $M=\mathbb{Z}_{p}^{\oplus p}$ : knowing $\operatorname{Tr}\left(T^{p} \mid M\right)=p \alpha^{p}$ modulo $p^{2}$ is knowing $\alpha^{p}$ modulo $p$, which in turn determines $\alpha$ modulo $p$ uniquely. Yet this is not enough to pin down $\alpha$ in $\mathbb{Z}_{p}$.


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- The "only if" direction is trivial when all the eigenvalues of $M, N$ are in $\mathbb{Z}_{p}$. Thus the heart is the " if " direction.


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- The "only if" direction is trivial when all the eigenvalues of $M, N$ are in $\mathbb{Z}_{p}$. Thus the heart is the " if " direction.
- The result generalizes to $p$-adic fields that are not too ramified.

The result is a combinatorial statement about deep congruences between power-sum symmetric functions implying simple congruences between corresponding elementary symmetric functions.

Let $A$ be a torsion-free $\mathbb{Z}_{(p)}$-algebra and assume that $A$ is a domain.

## Theorem (Anni, Ghitza, Medvedovsky)

Let $P, Q$ be monic polynomials in $A[X]$. Then

$$
\bar{P} \equiv \bar{Q} \quad \text { in } A / \mathfrak{a}[X]
$$

if and only if

$$
\mathfrak{p}_{n}(P) \equiv \mathfrak{p}_{n}(Q) \quad \bmod n \mathfrak{a}
$$

for all $1 \leq n \leq \max \{\operatorname{deg} P, \operatorname{deg} Q\}$.

In particular here we do not require $P$ and $Q$ to be of the same degree; nor do we require $\mathfrak{a}$ to be prime (nor indeed $A$ to be a domain).

The proof uses combinatorial theory of symmetric functions, specifically, formulas that express elementary symmetric functions in terms of power-sum functions and vice versa.

## A generalization to virtual modules

## Corollary

Let $M_{1}, M_{2}, N_{1}, N_{2}$ be free $\mathbb{Z}_{p}$-modules of finite rank, each with an action of an operator $T$. Suppose we have fixed $T$-equivariant embeddings $\iota_{1}: \bar{N}_{1} \hookrightarrow \bar{M}_{1}$ and $\iota_{2}: \bar{N}_{2} \hookrightarrow \bar{M}_{2}$ and consider the quotients $W_{1}:=\bar{M}_{1} / \iota_{1}\left(\bar{N}_{1}\right)$ and $W_{2}:=\bar{M}_{2} / \iota_{2}\left(\bar{N}_{2}\right)$. Then

$$
W_{1}^{\mathrm{ss}} \cong W_{2}^{\mathrm{ss}}
$$

as $\mathbb{F}_{p}[T]$-modules if and only if for every $n \geq 0$ we have
$v_{p}\left(\operatorname{Tr}\left(T^{n} \mid M_{1}\right)-\operatorname{Tr}\left(T^{n} \mid N_{1}\right)-\operatorname{Tr}\left(T^{n} \mid M_{2}\right)+\operatorname{Tr}\left(T^{n} \mid N_{2}\right)\right) \geq 1+v_{p}(n)$.

The essential point is that we do not assume that there are embeddings $N_{i} \hookrightarrow M_{i}$ over $\mathbb{Z}_{p}$, but only after base change to $\mathbb{F}_{p}$.

## Back to the main theorem

For $N$ prime to $p$ and $k \geq 2$, write $M_{k}\left(N p, \mathbb{Z}_{p}\right)$ for the space of classical modular forms of weight $k$ and level $N p$, viewed via the $q$-expansion map as a subspace of a finite free $\mathbb{Z}_{p}$-module. Let $M_{k}\left(N p, \mathbb{F}_{p}\right)$ denote the image of $M_{k}\left(N p, \mathbb{Z}_{p}\right)$ in $\mathbb{F}_{p} \llbracket q \rrbracket$.

For $k \geq 4$, multiplication by the level- $p$ and weight-2 Eisenstein form $E_{2, p}$, normalized to be in $1+p \mathbb{Z}_{p} \llbracket q \rrbracket$, induces an embedding

$$
M_{k-2}\left(N p, \mathbb{F}_{p}\right) \hookrightarrow M_{k}\left(N p, \mathbb{F}_{p}\right) ;
$$

let

$$
W_{k}(N p):=M_{k}\left(N p, \mathbb{F}_{p}\right) / M_{k-2}\left(N p, \mathbb{F}_{p}\right)
$$

denote the quotient.

## The tame case - level $N$

Let $p \geq 5$, there is a Hecke-equivariant embedding

$$
M_{k-p+1}\left(N, \mathbb{F}_{p}\right) \hookrightarrow M_{k}\left(N, \mathbb{F}_{p}\right)
$$

induced by by multiplication by the form $E_{p-1}$, the Hasse invariant.
The quotient module $W_{k}(N)$ has been carefully studied. If $k \geq p+1$ we have:

- $W_{k}(N) \cong W_{k+p^{2}-1}(N)$, Serre 1987
- $W_{k}(N)[1] \cong W_{k+p+1}(N)$, Robert 1980 for $N=1$, Jochnowitz
- $W_{k}(N) \cong W_{p k}(N)$, Serre 1996

Let $W_{k}^{0}(N):=S_{k}\left(N, \mathbb{F}_{p}\right) / E_{p-1} S_{k-p+1}\left(N, \mathbb{F}_{p}\right)$, for $k \geq p+3$, we have

$$
W_{k}(N) \cong W_{k}^{0}(N)
$$

## The wild case - level $N p$

None of the previous statements hold in level $N p$, but we have observed (and proved) some patterns:

- $W_{k+p^{2}-p}(N p) \cong W_{k}(N p)$, the same for $W_{k}^{0}(N p)$;
- $W_{k}(N p)[1]^{s s} \cong W_{k+2}(N p)^{s s}$;
- $W_{k}(N p)\left[\frac{p-1}{2}\right]^{s s} \cong W_{k}(N p)^{s s}$.

The proofs do not follow the previous techniques, all use the trace formula.

We use the trace formula to establish the required congruences.

## The trace formula

Fix a natural number $N$ and a prime number $\ell$, then for all $n \geq 0$ and all even $k \geq 4$ we have

$$
\operatorname{Tr}\left(T_{\ell^{n}} \mid S_{k}(N)\right)=t_{n, k}=A_{1}\left(\ell^{n}, k\right)-A_{2}\left(\ell^{n}, k\right)-A_{3}\left(\ell^{n}, k\right)
$$

$A_{1}$ is the parabolic term, $A_{2}$ is the elliptic term, and $A_{3}$ the hyperbolic term.

Let us introduce the following notation to present the linear combination of traces appearing in the following : for any pair of integers $n$ and $k$, and any weight $k$ as above let

$$
\delta_{n, k}^{m}:=\ell^{m(k+p-2)} t_{n, k+p-1}-\ell^{m(k-1)} t_{n, k} .
$$

Let $p$ be a prime, $p>2$. Let $k \geq 2, h \geq 2$ be integers, $a \in \mathbb{Z} /(p-1) \mathbb{Z}$ such that $k+2 a \equiv h \bmod p-1$.

Fix a level $M$ that may or may not be divisible by $p$.
Set for $n \geq 0$

$$
B(n, k, h, a)=\ell^{n a} \delta_{n, k}^{0}-\delta_{n, h}^{0}
$$

and for $n \geq 2$

$$
C(n-2, k, h, a)=\ell^{n a} \delta_{n-2, k}^{1}-\delta_{n-2, h}^{1} .
$$

## Theorem (Anni, Ghitza, Medvedovsky)

Suppose that for all but finitely many primes $\ell$ we have

1. for $n=0$ :

$$
B(0, k, h, a)=0 ;
$$

2. for $n=1$ :

$$
B(1, k, h, a) \equiv 0 \quad(\bmod p) ;
$$

3. for all $n \geq 2$ :

$$
B(n, k, h, a) \equiv C(n-2, k, h, a) \quad\left(\bmod p^{1+v_{p}(n)}\right)
$$

Then

$$
W_{k+p-1}(M)[a]^{\mathrm{ss}}=W_{h+p-1}(M)^{\mathrm{ss}}
$$

Using the previous corollary, we deduce statements about dimensions.

Generalisations with $\bar{\rho}$ fixed and/or fixed Atkin-Lehner.

Let $M_{k}\left(N p, \mathbb{Z}_{p}\right)$ be the lattice of forms in $M_{k}\left(N p, \mathbb{Q}_{p}\right)$ with integral $q$-expansions at infinity, and let

$$
M_{k}\left(N p, \mathbb{Z}_{p}\right)^{ \pm}:=M_{k}\left(N p, \mathbb{Z}_{p}\right) \cap M_{k}\left(N p, \mathbb{Q}_{p}\right)^{ \pm}
$$

Then $M_{k}\left(N p, \mathbb{Z}_{p}\right)^{ \pm}$are integral lattices inside the Atkin-Lehner eigenspaces, and may be reduced modulo $p$ : let

$$
M_{k}\left(N p, \mathbb{F}_{p}\right)^{ \pm}:=M_{k}\left(N p, \mathbb{Z}_{p}\right)^{ \pm} \otimes \mathbb{F}_{p}
$$

Let

$$
E_{p-1}^{ \pm}(z):=E_{p-1}(z) \pm p^{(p-1) / 2} E_{p-1}(p z)
$$

one can check that $E_{p-1}^{ \pm}$is a form of level $p$ with $w_{p}$ eigenvalue $\pm 1$ and mod- $p$-expansion congruent to 1 . Therefore for any signs $\epsilon, \eta \in\{ \pm 1\}$ multiplication by $E_{p-1}^{\epsilon / \eta}$ gives embeddings

$$
M_{k-p+1}\left(N p, \mathbb{F}_{p}\right)^{\eta} \hookrightarrow M_{k}\left(N p, \mathbb{F}_{p}\right)^{\epsilon}
$$

Let $W_{k}^{\epsilon, \eta}(N p)$ be the quotient, a Hecke module

Theorem (Anni, Ghitza, Medvedovsky)
For any signs $\epsilon, \eta$ and any $k \geq 2$ we have

$$
W_{k+2}^{-\epsilon,-\eta}(N p)^{\mathrm{ss}} \cong W_{k}^{\epsilon, \eta}(N p)[1]^{\mathrm{ss}} .
$$

## Proof of the main theorem

For cusp forms:

$$
W_{k}(N p)^{0, \epsilon \eta}:=S_{k}\left(N p, \mathbb{F}_{p}\right)^{\epsilon} / S_{k-p+1}\left(N p, \mathbb{F}_{p}\right)^{\eta}
$$

and

$$
W_{k}(N p)^{0, \epsilon \eta}[1]^{\mathrm{ss}}=W_{k+2}(N p)^{0,-\epsilon-\eta, \mathrm{ss}}
$$

SO

$$
\operatorname{dim} W_{k}(N p)^{0, \epsilon \eta}[1]=\operatorname{dim} W_{k+2}(N p)^{0,-\epsilon-\eta}
$$

Denoting by $s_{k}^{\bullet}=\operatorname{dim} S_{k}(N p)^{\bullet}$, we have

$$
s_{k}^{\epsilon}-s_{k-p+1}^{\eta}=s_{k+2}^{-\epsilon}-s_{k+2-(p-1)}^{-\eta} .
$$

## Proof of the main theorem

On the other hand

$$
W_{k}(N p)^{0,-\epsilon \eta}[1]^{\mathrm{ss}}=W_{k+2}(N p)^{0, \epsilon-\eta, \mathrm{ss}}
$$

so

$$
s_{k}^{-\epsilon}-s_{k-p+1}^{\eta}=s_{k+2}^{\epsilon}-s_{k+2-(p-1)}^{-\eta}
$$

Combining with $s_{k}^{\epsilon}-s_{k-p+1}^{\eta}=s_{k+2}^{-\epsilon}-s_{k+2-(p-1)}^{-\eta}$ we have

$$
-d_{k}^{*}=d_{k+2}^{*}
$$

## Example: $p=5, N=23$

| $k \backslash \bar{\rho}$ | $e$ | $e^{\prime}$ | $p$ | $p^{\prime}$ | $t$ | $t^{\prime}$ | $s \times 4$ | $s^{\prime} \times 4$ | $\begin{gathered} f, f^{\prime} ; g, g^{\prime} ; \\ h, h^{\prime} \times 3 \end{gathered}$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | $(0,0)$ | $(0,0)$ | $(3,2)$ | $(0,0)$ | $(2,0)$ | $(0,0)$ | $(0,1)$ | $(0,0)$ | $(0,0)$ | $(5,6)$ |
| 4 | $(2,1)$ | $(0,0)$ | $(2,3)$ | $(0,0)$ | $(0,2)$ | $(0,0)$ | $(1,0)$ | $(0,0)$ | $(1,1)$ | $(18,16)$ |
| 6 | $(1,2)$ | $(1,1)$ | $(3,2)$ | $(5,5)$ | $(2,0)$ | $(2,2)$ | $(0,1)$ | $(1,1)$ | $(1,1)$ | $(28,30)$ |
| 8 | $(2,1)$ | $(3,3)$ | $(2,3)$ | $(5,5)$ | $(0,2)$ | $(2,2)$ | $(1,0)$ | $(1,1)$ | $(2,2)$ | $(42,40)$ |
| 10 | $(2,3)$ | $(3,3)$ | $(8,7)$ | $(5,5)$ | $(4,2)$ | $(2,2)$ | $(1,2)$ | $(1,1)$ | $(2,2)$ | $(52,54)$ |
| 12 | $(5,4)$ | $(3,3)$ | $(7,8)$ | $(5,5)$ | $(2,4)$ | $(2,2)$ | $(2,1)$ | $(1,1)$ | $(3,3)$ | $(66,64)$ |
| 14 | $(4,5)$ | $(4,4)$ | $(8,7)$ | $(10,10)$ | $(4,2)$ | $(4,4)$ | $(1,2)$ | $(2,2)$ | $(3,3)$ | $(76,78)$ |
| 16 | $(5,4)$ | $(6,6)$ | $(7,8)$ | $(10,10)$ | $(2,4)$ | $(4,4)$ | $(2,1)$ | $(2,2)$ | $(4,4)$ | $(90,88)$ |
| 18 | $(5,6)$ | $(6,6)$ | $(13,12)$ | $(10,10)$ | $(6,4)$ | $(4,4)$ | $(2,3)$ | $(2,2)$ | $(4,4)$ | $(100,102)$ |
| 20 | $(8,7)$ | $(6,6)$ | $(12,13)$ | $(10,10)$ | $(4,6)$ | $(4,4)$ | $(3,2)$ | $(2,2)$ | $(5,5)$ | $(114,112)$ |

$\qquad$

## Counting modular forms with a Galois

 representation mod $p$ and the Atkin-Lehner eigenvalue at $p$ fixed simultaneouslySamuele Anni

Novenas Jornadas de Teoría de Números 2022
Thank you!

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