Casson towers and filtrations of the smooth knot concordance group

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Definition

A knot is *slice* if it bounds a smoothly embedded disk Δ in B^4 .



Knots, modulo slice knots, form the smooth knot concordance group, denoted \mathcal{C} .

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Definition

A knot is *slice* if it bounds a smoothly embedded disk Δ in B^4 .



Knots, modulo slice knots, form the *smooth knot concordance* group, denoted C.

There exist infinitely many smooth concordance classes of topologically slice knots (Endo, Gompf, Hedden–Kirk, Hedden–Livingston–Ruberman, Hom, etc.)

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Approximating s	liceness		



A knot is slice if it bounds a disk in B^4 .

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A knot is slice if it bounds a disk in B^4 . Two ways to approximate sliceness:

• knots which bound disks in [[approximations of B^4]]

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- knots which bound disks in [[approximations of B^4]]
- knots which bound [[approximations of disks]] in B^4

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The n -solvable filt	ration of \mathcal{C}		

Definition (Cochran–Orr–Teichner, 2003)

For any $n \ge 0$, a knot K is in \mathcal{F}_n (and is said to be *n*-solvable) if K bounds a smooth, embedded disk Δ in [[an approximation of B^4]]

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The n -solvable f	iltration of ${\cal C}$		

Definition (Cochran–Orr–Teichner, 2003)

For any $n \ge 0$, a knot K is in \mathcal{F}_n (and is said to be *n*-solvable) if K bounds a smooth, embedded disk Δ in a smooth, compact, oriented 4-manifold V with $\partial V = S^3$ such that

- $H_1(V) = 0$,
- there exist surfaces $\{L_1, D_1, L_2, D_2, \cdots, L_k, D_k\}$ embedded in $V - \Delta$ which generate $H_2(V)$ and with respect to which the intersection form is $\bigoplus \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$,
- $\pi_1(L_i) \subseteq \pi_1(V \Delta)^{(n)}$ for all i,
- $\pi_1(D_i) \subseteq \pi_1(V \Delta)^{(n)}$ for all i.

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Clearly,

$$\cdots \subseteq \mathcal{F}_n \subseteq \mathcal{F}_{n-1} \subseteq \cdots \subseteq \mathcal{F}_0 \subseteq \mathcal{C}$$

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The $n extsf{-solvable}$ filtration of ${\mathcal C}$

- $\mathcal{F}_0 = \{K \mid \mathsf{Arf}(K) = 0\}$
- $\mathcal{F}_1 \subseteq \{K \mid K \text{ is algebraically slice}\}$
- $\mathcal{F}_2 \subseteq \{K \mid \text{various Casson-Gordon obstructions vanish}\}$

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The $n extsf{-}$ solvable filtration of ${\mathcal C}$

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$$\forall n, \mathbb{Z}^{\infty} \subseteq \mathcal{F}_n/\mathcal{F}_{n+1}$$

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The grope filtration	of C		

Definition

For any $n \geq 1$, a knot K is in \mathcal{G}_n if K bounds a grope of height n in B^4 .

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The grope filtration	on of ${\cal C}$		

Model Theorem (Cochran–Orr–Teichner, 2003)

For all $n \ge 0$,

$$\mathcal{G}_{n+2} \subseteq \mathcal{F}_n$$

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Topologically slice	knots		

Let \mathcal{T} denote the set of all topologically slice knots.

$$\mathcal{T} \subseteq \bigcap_{n=0}^{\infty} \mathcal{F}_n$$

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Topologically slice	knots		

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How can we use filtrations to study smooth concordance classes of topologically slice knots?

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Positive and	agative filtrati	ons of C	

Definition (Cochran-Harvey-Horn, 2012)

For any $n \ge 0$, a knot K is in \mathcal{P}_n (and is said to be *n*-positive) if K bounds a smooth, embedded disk Δ in [[an approximation of B^4]]

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Positive and negative filtrations of \mathcal{C}

Definition (Cochran-Harvey-Horn, 2012)

For any $n \ge 0$, a knot K is in \mathcal{P}_n (and is said to be *n*-positive) if K bounds a smooth, embedded disk Δ in a smooth, compact, oriented 4-manifold V with $\partial V = S^3$ such that

- $\pi_1(V) = 0$,
- there exist surfaces $\{S_i\}$ embedded in $V \Delta$ which generate $H_2(V)$ and with respect to which the intersection form is $\bigoplus [1]$,
- $\pi_1(S_i) \subseteq \pi_1(V \Delta)^{(n)}$ for all i,

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Definition (Cochran-Harvey-Horn, 2012)

For any $n \ge 0$, a knot K is in \mathcal{N}_n (and is said to be *n*-negative) if K bounds a smooth, embedded disk Δ in a smooth, compact, oriented 4-manifold V with $\partial V = S^3$ such that

- $\pi_1(V) = 0$,
- there exist surfaces $\{S_i\}$ embedded in $V \Delta$ which generate $H_2(V)$ and with respect to which the intersection form is $\bigoplus [-1]$,
- $\pi_1(S_i) \subseteq \pi_1(V \Delta)^{(n)}$ for all i,

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These filtrations can be used to distinguish smooth concordance classes of topologically slice knots

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Prove a version of the result relating the grope filtration and $n\mathchar`-solvable filtration, for the positive/negative filtrations$

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Casson towers			

Any knot bounds a kinky disk in $B^4, \, {\rm i.e.}$ a disk with transverse self-intersections.

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Casson towers			

Any knot bounds a *kinky disk* in B^4 , i.e. a disk with transverse self-intersections.

Any knot which bounds such a kinky disk with only *positive* self-intersections lies in \mathcal{P}_0 .

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Casson towers			

Any knot bounds a *kinky disk* in B^4 , i.e. a disk with transverse self-intersections.

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A Casson tower is built using layers of kinky disks, so they are natural objects to study in this context.

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Casson towers			
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Casson towers			



A Casson tower of height n consists of n layers of kinky disks.

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A Casson tower of height n consists of n layers of kinky disks.

A Casson tower T is of height (2, n) if it has two layers of kinky disks, and each member of a standard set of generators of $\pi_1(T)$ is in $\pi_1(B^4 - T)^{(n)}$.

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Casson towers			

• A knot is in \mathfrak{C}_n if it bounds a Casson tower of height n in B^4

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- A knot is in \mathfrak{C}_n if it bounds a Casson tower of height n in B^4
- A knot is in C⁺_n if it bounds a Casson tower of height n in B⁴ such that all the kinks at the initial disk are positive
- A knot is in C_n⁻ if it bounds a Casson tower of height n in B⁴ such that all the kinks at the initial disk are negative

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- A knot is in $\mathfrak{C}_{2,\,n}$ if it bounds a Casson tower of height $(2,\,n)$ in B^4

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- A knot is in $\mathfrak{C}_{2,\,n}$ if it bounds a Casson tower of height $(2,\,n)$ in B^4
- A knot is in $\mathfrak{C}^+_{2,n}$ if it bounds a Casson tower of height (2, n) in B^4 such that all the kinks at the initial disk are positive
- A knot is in $\mathfrak{C}_{2,n}^-$ if it bounds a Casson tower of height (2, n) in B^4 such that all the kinks at the initial disk are negative

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Model Theorem (Cochran–Orr–Teichner, 2003)

For all $n \ge 0$,

$\mathcal{G}_{n+2} \subseteq \mathcal{F}_n$

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Model Theorem (Cochran-Orr-Teichner, 2003)

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$$\mathcal{G}_{n+2} \subseteq \mathcal{F}_n$$

Theorem (R.)

For all $n \ge 0$,

•
$$\mathfrak{C}_{n+2}^+ \subseteq \mathcal{P}_n$$

•
$$\mathfrak{C}_{n+2}^{-} \subseteq \mathcal{N}_{n}$$

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•
$$\mathfrak{C}^+_{2,n} \subseteq \mathcal{P}_n$$

•
$$\mathfrak{C}_{2,n}^{-} \subseteq \mathcal{N}_n$$

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Theorem (R.)

For all $n \ge 0$,

- $\mathfrak{C}_{n+2}^+ \subseteq \mathcal{P}_n$
- $\mathfrak{C}_{n+2}^{-} \subseteq \mathcal{N}_n$
- $\mathfrak{C}^+_{2,n} \subseteq \mathcal{P}_n$
- $\mathfrak{C}_{2,n}^- \subseteq \mathcal{N}_n$
- $\mathfrak{C}_{n+2} \subseteq \mathcal{G}_{n+2} \subseteq \mathcal{F}_n$
- $\mathfrak{C}_{2,n} \subseteq \mathcal{F}_n$

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Proposition (R.)

For *m*-component links, let $\mathfrak{C}_n(m)$, $\mathfrak{C}_{2,n}(m)$, $\mathcal{F}_n(m)$, $\mathcal{P}_n(m)$, and $\mathcal{N}_n(m)$ denote the Casson tower, *n*-solvable, *n*-positive and *n*-negative filtrations respectively. For all *n* and $m \geq 2^{n+2}$,

$\mathbb{Z} \subseteq \mathcal{F}_n(m)/\mathfrak{C}_{n+2}(m)$	$\mathbb{Z} \subseteq \mathcal{F}_n(m)/\mathfrak{C}_{2,n}(m)$
$\mathbb{Z} \subseteq \mathcal{P}_n(m)/\mathfrak{C}_{n+2}^+(m)$	$\mathbb{Z} \subseteq \mathcal{P}_n(m)/\mathfrak{C}^+_{2,n}(m)$
$\mathbb{Z} \subseteq \mathcal{N}_n(m)/\mathfrak{C}_{n+2}^-(m)$	$\mathbb{Z} \subseteq \mathcal{N}_n(m)/\mathfrak{C}^{2,n}(m)$

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Proposition (R.)

Let ${\mathcal T}$ denote the set of all topologically slice knots. Then

$$\mathcal{T} \subseteq \bigcap_{n=1}^{\infty} \mathcal{G}_n$$