

Cobordism theory and the s-cobordism theorem

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- Cobordism theory
- The Pontrjagin-Thom construction
- The s -Cobordism Theorem
- Sketch of its proof
- The Whitehead group

Definition (Singular cobordism)

Let X be a CW -complex.

- Define the n -th singular bordism group

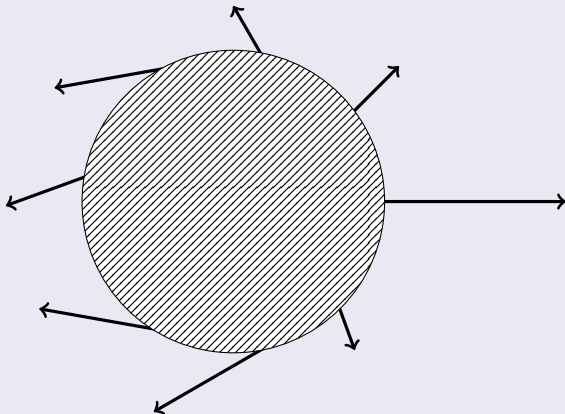
$$\Omega_n(X)$$

by the oriented bordism classes of maps $f: M \rightarrow X$ with a closed oriented manifold as source.

- Addition comes from the disjoint union, the neutral element is represented by the map $\emptyset \rightarrow X$, and the inverse is given by changing the orientation.
- It becomes a covariant functor by composing the reference map to X with a map $f: X \rightarrow Y$.

- We call $f_0: M_0 \rightarrow X$ and $f_1: M_1 \rightarrow X$ **oriented bordant**, if there is a compact oriented manifold W whose boundary is a disjoint union $\partial W = \partial_0 W \amalg \partial_1 W$, a map $F: W \rightarrow X$, and orientation preserving diffeomorphisms $u_i: M_i \xrightarrow{\cong} \partial_i W$ such that $F \circ u_i = f_i$.
- One can define $\Omega_n(X, A)$ also for pairs (X, A) .
- We will orient the boundary of ∂W using the isomorphism $TW|_{\partial W} \cong \nu(\partial W, W) \oplus T\partial W$ and the orientation of $\nu(\partial W, W)$ coming from the **outward normal vector field**.
- This is consistent with the standard orientation on $D^2 \subseteq \mathbb{R}^2$ and on S^1 .

Figure (Outward normal vector field)



Theorem (Singular bordism as homology theory)

We obtain by Ω_* a (generalized) homology theory.

- We get for its coefficient groups $\Omega_n = \Omega_n(\{\bullet\})$

$$\begin{array}{cccccccccc} \Omega_0 & \Omega_1 & \Omega_2 & \Omega_3 & \Omega_4 & \Omega_5 & \Omega_6 & \Omega_7 & \Omega_8 & \Omega_9 \\ \mathbb{Z} & 0 & 0 & 0 & \mathbb{Z} & \mathbb{Z}/2 & 0 & 0 & \mathbb{Z} \oplus \mathbb{Z} & \mathbb{Z}/2 \oplus \mathbb{Z}/2 \end{array}$$

- Explicitly the isomorphism $\Omega_0 \xrightarrow{\mathbb{Z}}$ is given by counting the number of elements of a zero-dimensional closed manifold taking the orientation, which is essentially a sign \pm , into account. A generator of the infinite cyclic group Ω_4 is given by $(\{\bullet\}, +)$.
- Explicitly the isomorphism $\Omega_4 \xrightarrow{\cong} \mathbb{Z}$ is given by the **signature**. A generator of the infinite cyclic group Ω_0 is $\mathbb{C}\mathbb{P}^2$.

Example (Low-dimensions)

Let X be a connected CW-complex. Let $\text{pr } X \rightarrow \{\bullet\}$ be the projection. We conclude from the Atiyah-Hirzebruch spectral sequence:

- We obtain a bijection

$$\text{pr}_* : \Omega_0(X) \xrightarrow{\cong} \Omega_0(\{\bullet\}) \cong \mathbb{Z};$$

- We get for $n = 1, 2, 3$ a bijection

$$c_n : \Omega_n(X) \xrightarrow{\cong} H_n(X; \mathbb{Z})$$

where $c_n : \Omega_n(X) \xrightarrow{\cong} H_n(X; \mathbb{Z})$ sends the class of $f : M \rightarrow X$ to $f_*([M])$;

- We get a bijection

$$\text{pr}_* \times c_4 : \Omega_4(X) \xrightarrow{\cong} \Omega_4(\{\bullet\}) \times H_4(X; \mathbb{Z}) \cong \mathbb{Z} \times H_4(X; \mathbb{Z}).$$

- The cartesian product implements the structure of an **external product** on Ω_* .
- One can weaken or strengthen the condition that M is orientable.
- For instance, one can consider the **unoriented bordism theory** $\mathcal{N}_*(X)$. Its coefficient ring $\mathcal{N}_* = \mathcal{N}_*({\bullet})$ is given by

$$\mathcal{N}_* \cong \mathbb{F}_2[\{x_i \mid i \in \mathbb{N}, i \neq 2^k - 1\}] = \mathbb{F}_2[x_2, x_4, x_5, x_6, x_8, \dots]$$

where x_i sits in degree i . There are explicit representatives for the x_i , for instance $\mathbb{R}P^i$ represents x_i for even i .

- One can also consider **Spin-bordism** Ω^{Spin} . We get for its coefficient groups $\Omega_n^{\text{Spin}} = \Omega_n^{\text{Spin}}({\bullet})$

$$\begin{array}{cccccccccc} \Omega_0^{\text{Spin}} & \Omega_1^{\text{Spin}} & \Omega_2^{\text{Spin}} & \Omega_3^{\text{Spin}} & \Omega_4^{\text{Spin}} & \Omega_5^{\text{Spin}} & \Omega_6^{\text{Spin}} & \Omega_7^{\text{Spin}} & \Omega_8^{\text{Spin}} \\ \mathbb{Z} & \mathbb{Z}/2 & \mathbb{Z}/2 & 0 & \mathbb{Z} & 0 & 0 & 0 & \mathbb{Z} \oplus \mathbb{Z} \end{array}$$

The Pontrjagin-Thom construction

- All these various bordism theories can be obtained as special case from ξ -bordism for a k -dimensional vector bundle ξ with projection $p_\xi: E \rightarrow X$ over a space X .
- Recall that for an n -dimensional manifold M there exists an embedding $i: M \rightarrow \mathbb{R}^{k+n}$, which is unique up to isotopy, for k large enough. Furthermore i possesses a well-defined **normal bundle** $\nu(i)$.

Definition (ξ -bordism)

Let $\Omega_n(\xi)$ be the bordism group of quadruples (M, i, f, \bar{f}) consisting of a closed n -dimensional manifold M , an embedding $i: M \rightarrow \mathbb{R}^{n+k}$, and a map bundle map $\bar{f}: \nu(i) \rightarrow \xi$ covering a map $f: M \rightarrow X$.

Definition (Thom space)

The **Thom space** of a vector bundle $p_\xi: E \rightarrow X$ over a finite CW-complex is defined by DE/SE , or equivalently, by the one-point compactification $E \cup \{\infty\}$. It has a preferred base point $\infty = SE/SE$.

- For a finite-dimensional vector space V we denote the trivial vector bundle with fibre V by \underline{V} .
- There are homeomorphisms of pointed spaces

$$\begin{aligned}\mathrm{Th}(\xi \times \eta) &\cong \mathrm{Th}(\xi) \wedge \mathrm{Th}(\eta); \\ \mathrm{Th}(\xi \oplus \underline{\mathbb{R}}^k) &\cong \Sigma^k \mathrm{Th}(\xi).\end{aligned}$$

Theorem (Pontrjagin-Thom Construction)

Let $\xi: E \rightarrow X$ be a k -dimensional vector bundle over a CW-complex X . Then the map

$$P_n(\xi): \Omega_n(\xi) \rightarrow \pi_{n+k}(\mathrm{Th}(\xi)),$$

which sends the bordism class of (M, i, f, \bar{f}) to the homotopy class of the composite $S^{n+k} \xrightarrow{c} \mathrm{Th}(\nu(M)) \xrightarrow{\mathrm{Th}(\bar{f})} \mathrm{Th}(\xi)$, is a well-defined isomorphism, natural in ξ .

- We sketch the proof, the details can be found in [Bröcker-tom Dieck \[2\]](#).

- Let $(N(M), \partial N(M))$ be a **tubular neighbourhood** of M . Recall that there is a diffeomorphism

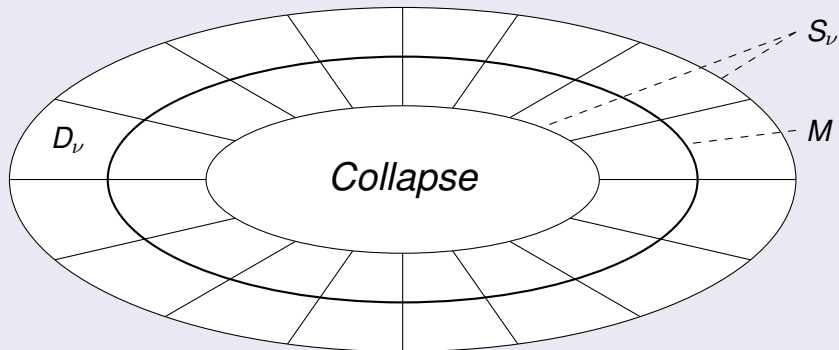
$$u: (D\nu(M), S\nu(M)) \rightarrow (N(M), \partial N(M)).$$

- The **Thom collapse map**

$$c: S^{n+k} = \mathbb{R}^{n+k} \amalg \{\infty\} \rightarrow \text{Th}(\nu(M))$$

is the pointed map which is given by the diffeomorphism u^{-1} on the interior of $N(M)$ and sends the complement of the interior of $N(M)$ to the preferred base point ∞ .

Figure (Pontrjagin-Thom construction)



- Thus we obtain a well-defined homomorphism

$$P_n(\xi): \Omega_n(\xi) \rightarrow \pi_{n+k}(\text{Th}(\xi)) \quad [M, i, f, \bar{f}] \mapsto [\text{Th}(\bar{f}) \circ c].$$

- Next we define its inverse.
- Consider a pointed map $(S^{n+k}, \infty) \rightarrow (\text{Th}(\xi), \infty)$.
- We can change f up to homotopy relative $\{\infty\}$ such that f becomes transverse to X . Notice that transversality makes sense although X is not a manifold, one needs only the fact that X is the zero-section in a vector bundle.
- Put $M = f^{-1}(X)$. The transversality construction yields a bundle map $\bar{f}: \nu(M) \rightarrow \xi$ covering $f|_M$. Let $i: M \rightarrow \mathbb{R}^{n+k} = S^{n+k} - \{\infty\}$ be the inclusion.
- Then the inverse of $P_n(\xi)$ sends the class of f to the class of $(M, i, f|_M, \bar{f})$.

- Let $p_{\xi_k} : E_k \rightarrow \text{BSO}(k)$ be the universal oriented k -dimensional vector bundle.
- Let $\bar{j}_k : \xi_k \oplus \underline{\mathbb{R}} \rightarrow \xi_{k+1}$ be a bundle map covering a map $j_k : \text{BSO}(k) \rightarrow \text{BSO}(k+1)$. Up to homotopy of bundle maps this map is unique.
- Denote by γ_k the bundle $\text{id}_X \times p_{\xi_k} : X \times E_k \rightarrow X \times \text{BSO}(k)$.
- We get a map

$$\Omega_n(\bar{i}_k) : \Omega_n(\gamma_k) \rightarrow \Omega_n(\gamma_{k+1})$$

which sends the class of (M, i, f, \bar{f}) to the class of the quadruple which comes from the embedding $j : M \xrightarrow{i} \mathbb{R}^{n+k} \subset \mathbb{R}^{n+k+1}$ and the canonical isomorphism $\nu(i) \oplus \underline{\mathbb{R}} = \nu(j)$.

- Consider the homomorphism

$$V_k: \Omega_n(\gamma_k) \rightarrow \Omega_n(X)$$

which sends the class of (M, i, f, \bar{f}) to $(M, \text{pr}_X \circ f)$, where pr_X is the projection $X \times \text{BSO}(k) \rightarrow X$, and we equip M with the orientation determined by \bar{f} .

- Let $\text{colim}_{k \rightarrow \infty} \Omega_n(\gamma_k)$ be the colimit of the directed system indexed by $k \geq 0$

$$\dots \xrightarrow{\Omega_n(\overline{i_{k-1}})} \Omega_n(\gamma_k) \xrightarrow{\Omega_n(\overline{i_k})} \Omega_n(\gamma_{k+1}) \xrightarrow{\Omega_n(\overline{i_{k+1}})} \dots$$

- We obtain a bijection

$$V: \text{colim}_{k \rightarrow \infty} \Omega_n(\gamma_k) \xrightarrow{\cong} \Omega_n(X).$$

- We see a sequence of spaces $\text{Th}(\gamma_k)$ together with maps

$$\text{Th}(\overline{i_k}): \Sigma \text{Th}(\gamma_k) = \text{Th}(\gamma_k \oplus \underline{\mathbb{R}}) \rightarrow \text{Th}(\gamma_{k+1}).$$

- We obtain homomorphisms

$$s_k: \pi_{n+k}(\mathrm{Th}(\gamma_k)) \rightarrow \pi_{n+k+1}(\Sigma \mathrm{Th}(\gamma_k))$$

$$\xrightarrow{\pi_{n+k+1}(\mathrm{Th}(\bar{i}_k))} \pi_{n+k+1}(\mathrm{Th}(\gamma_{k+1})),$$

where the first map is the suspension homomorphism.

- We now define the group $\mathrm{colim}_{k \rightarrow \infty} \pi_{n+k}(\mathrm{Th}(\gamma_k))$ to be the colimit of the directed system

$$\cdots \xrightarrow{s_{k-1}} \pi_{n+k}(\mathrm{Th}(\gamma_k)) \xrightarrow{s_k} \pi_{n+k+1}(\mathrm{Th}(\gamma_{k+1})) \xrightarrow{s_{k+1}} \cdots .$$

- From the theorem above we obtain a bijection

$$P: \mathrm{colim}_{k \rightarrow \infty} \Omega_n(\gamma_k) \xrightarrow{\cong} \mathrm{colim}_{k \rightarrow \infty} \pi_{n+k}(\mathrm{Th}(\gamma_k)).$$

Theorem (Pontrjagin-Thom Construction and Oriented Bordism)

There is an isomorphism of abelian groups natural in X

$$P: \Omega_n(X) \xrightarrow{\cong} \operatorname{colim}_{k \rightarrow \infty} \pi_{n+k}(\operatorname{Th}(\gamma_k)).$$

- Notice that the sequence of Thom spaces above yields the so called **Thom spectrum $\mathbf{Th}(\gamma)$** and the right handside in the isomorphism above is $\pi_n^S(\mathbf{Th}(\gamma))$.
- Analogously one gets for **framed bordism $\Omega^{\text{fr}}(X)$** an isomorphism

$$\Omega_n^{\text{fr}}(X) \xrightarrow{\cong} \pi_n^S(X)$$

where π_*^S denotes stable homotopy.

The s-Cobordism Theorem

Definition (*h-cobordism*)

An *h-cobordism* over a closed manifold M_0 is a compact manifold W whose boundary is the disjoint union $M_0 \amalg M_1$ such that both inclusions $M_0 \rightarrow W$ and $M_1 \rightarrow W$ are homotopy equivalences.

- The next result is due to **Barden**, **Mazur**, **Stallings**, see [1, 7]. Its topological version was proved by **Kirby** and **Siebenmann** [6, Essay II].
- More information about the s-cobordism theorem can be found for instance in [5], [9], [10].

Theorem (*s*-Cobordism Theorem)

Let M_0 be a closed connected smooth manifold of dimension $n \geq 5$ with fundamental group $\pi = \pi_1(M_0)$. Then

- 1 Let $(W; M_0, f_0, M_1, f_1)$ be an h -cobordism over M_0 . Then W is trivial over M_0 if and only if its **Whitehead torsion** taking values in the **Whitehead group**

$$\tau(W, M_0) \in \text{Wh}(\pi)$$

vanishes;

- 2 For any $x \in \text{Wh}(\pi)$ there is an h -cobordism $(W; M_0, f_0, M_1, f_1)$ over M_0 with $\tau(W, M_0) = x \in \text{Wh}(\pi)$;
- 3 The function assigning to an h -cobordism $(W; M_0, f_0, M_1, f_1)$ over M_0 its Whitehead torsion yields a bijection from the diffeomorphism classes relative M_0 of h -cobordisms over M_0 to the Whitehead group $\text{Wh}(\pi)$.

Conjecture (Poincaré Conjecture)

Let M be an n -dimensional topological manifold which is a homotopy sphere, i.e., homotopy equivalent to S^n .

Then M is homeomorphic to S^n .

Theorem

For $n \geq 5$ the Poincaré Conjecture is true.

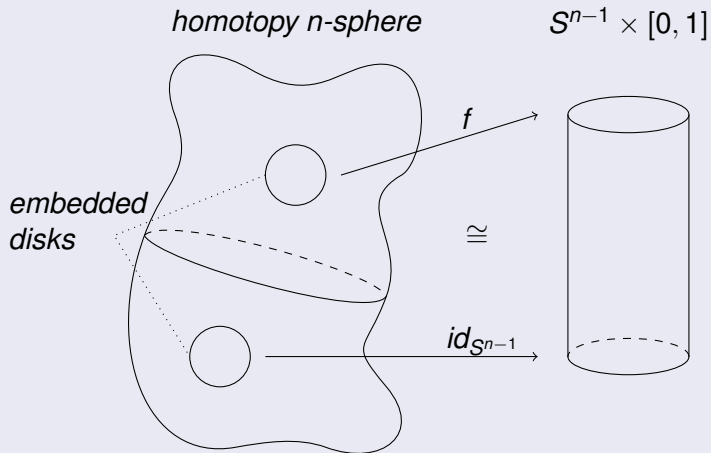
Proof.

We sketch the proof for $n \geq 6$.

- Let M be a n -dimensional homotopy sphere.
- Let W be obtained from M by deleting the interior of two disjoint embedded disks D_1^n and D_2^n . Then W is a simply connected h -cobordism.
- Since $\text{Wh}(\{1\})$ is trivial, we can find a homeomorphism $f: W \xrightarrow{\cong} \partial D_1^n \times [0, 1]$ which is the identity on $\partial D_1^n = D_1^n \times \{0\}$.
- By the **Alexander trick** we can extend the homeomorphism $f|_{D_1^n \times \{1\}}: \partial D_2^n \xrightarrow{\cong} \partial D_1^n \times \{1\}$ to a homeomorphism $g: D_1^n \rightarrow D_2^n$.
- The three homeomorphisms $id_{D_1^n}$, f and g fit together to a homeomorphism $h: M \rightarrow D_1^n \cup_{\partial D_1^n \times \{0\}} \partial D_1^n \times [0, 1] \cup_{\partial D_1^n \times \{1\}} D_1^n$. The target is obviously homeomorphic to S^n .



Figure (Proof of the Poincaré Conjecture)



- The argument above does not imply that for a smooth manifold M we obtain a diffeomorphism $g: M \rightarrow S^n$ since the Alexander trick does not work smoothly.
- Indeed, there exist so called **exotic spheres**, i.e., closed smooth manifolds which are homeomorphic but not diffeomorphic to S^n .
- The s -cobordism theorem is a key ingredient in the **Surgery Program** for the classification of closed manifolds due to **Browder, Novikov, Sullivan** and **Wall**, which we will explain later.

Theorem (Geometric characterization of $\text{Wh}(G) = \{0\}$)

The following statements are equivalent for a finitely presented group G and a fixed integer $n \geq 6$

- Every compact n -dimensional h -cobordism W with $G \cong \pi_1(W)$ is trivial;
- $\text{Wh}(G) = \{0\}$.

Conjecture (Vanishing of $\text{Wh}(G)$ for torsionfree G)

If G is torsionfree, then

$$\text{Wh}(G) = \{0\}.$$

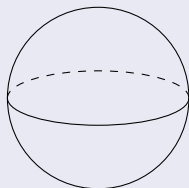
Sketch of the proof of the s-Cobordism Theorem

- We follow the exposition which will appear in [Crowley-Lück-Macko \[3\]](#).

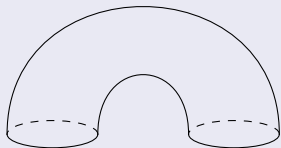
Definition (Handlebody)

- The n -dimensional handle of index q or briefly q -handle is $D^q \times D^{n-q}$.
- Its core is $D^q \times \{0\}$. The boundary of the core is $S^{q-1} \times \{0\}$.
- Its cocore is $\{0\} \times D^{n-q}$ and its transverse sphere is $\{0\} \times S^{n-q-1}$.

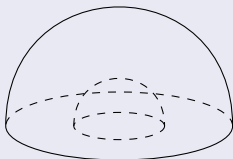
Figure (Handlebody)



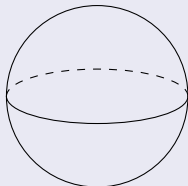
$$D^0 \times D^3$$



$$D^1 \times D^2$$



$$D^2 \times D^1$$



$$D^3 \times D^0$$

Definition (Attaching a handle)

Consider an n -dimensional manifold M with boundary ∂M . If $\phi^q: S^{q-1} \times D^{n-q} \rightarrow \partial M$ is an embedding, then we say that the manifold

$$M + (\phi^q) := M \cup_{\phi^q} D^q \times D^{n-q}$$

is obtained from M by **attaching a handle** of index q by ϕ^q .

- One should think of a handle $D^q \times D^{n-q}$ as a q -cell $D^q \times \{0\}$ which is thickened to $D^q \times D^{n-q}$.
- Attaching a q -handle $D^q \times D^{n-q}$ along $\phi^q: S^{q-1} \times D^{n-q} \rightarrow \partial M$ correspond to attaching a q -cell $D^q \times \{0\}$ along $\phi^q|_{S^{q-1} \times \{0\}}$

- Let W be a compact manifold whose boundary ∂W is the disjoint sum $\partial_0 W \amalg \partial_1 W$. Then we want to construct W from $\partial_0 W \times [0, 1]$ by attaching handles as follows.
- If $\phi^q: S^{q-1} \times D^{n-q} \rightarrow \partial_0 W \times \{1\}$ is an embedding, we get by attaching a handle the compact manifold $W_1 = \partial_0 W \times [0, 1] + (\phi^q)$. Notice we have not change $\partial_0 W = \partial_0 W \times \{0\}$.
- Now we can iterate this process and we obtain a compact manifold with boundary

$$W = \partial_0 W \times [0, 1] + (\phi_1^{q_1}) + (\phi_2^{q_2}) + \cdots + (\phi_r^{q_r}),$$

- We call a description of W as above a **handlebody decomposition** of W relative $\partial_0 W$.
- From **Morse theory**, see [4, Chapter 6], [8, part I] we obtain the following lemma.

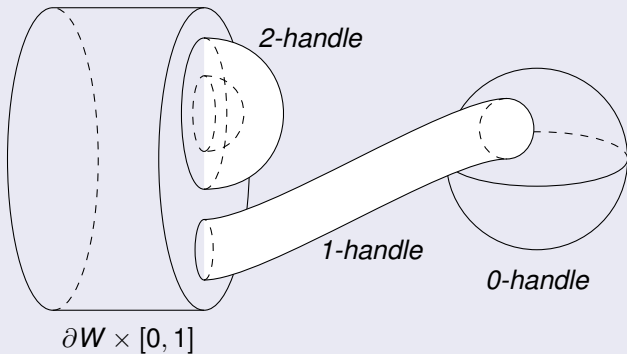
Lemma

Let W be a compact manifold whose boundary ∂W is the disjoint sum $\partial_0 W \amalg \partial_1 W$.

Then W possesses a handlebody decomposition relative $\partial_0 W$, i.e., W is up to diffeomorphism relative $\partial_0 W = \partial_0 W \times \{0\}$ of the form

$$W = \partial_0 W \times [0, 1] + (\phi_1^{q_1}) + (\phi_2^{q_2}) + \cdots + (\phi_r^{q_r}).$$

Figure (Handlebody decomposition)



Lemma (Isotopy Lemma)

Let W be an n -dimensional compact manifold, whose boundary ∂W is the disjoint sum $\partial_0 W \amalg \partial_1 W$. Let $\phi^q, \psi^q: S^{q-1} \times D^{n-q} \rightarrow \partial_1 W$ be isotopic embeddings.

Then there is a diffeomorphism

$$W + (\phi^q) \xrightarrow{\cong} W + (\psi^q)$$

relative $\partial_0 W$.

Lemma (Diffeomorphism Lemma)

Let W resp. W' be a compact manifold whose boundary ∂W is the disjoint sum $\partial_0 W \amalg \partial_1 W$ resp. $\partial_0 W' \amalg \partial_1 W'$. Let $F: W \rightarrow W'$ be a diffeomorphism which induces a diffeomorphism $f_0: \partial_0 W \rightarrow \partial_0 W'$. Let $\phi^q: S^{q-1} \times D^{n-q} \rightarrow \partial_1 W$ be an embedding.

Then there is an embedding $\bar{\phi}^q: S^{q-1} \times D^{n-q} \rightarrow \partial_1 W'$ and a diffeomorphism

$$F': W + (\phi^q) \rightarrow W' + (\bar{\phi}^q)$$

which induces f_0 on $\partial_0 W$.

Lemma (Cancellation Lemma)

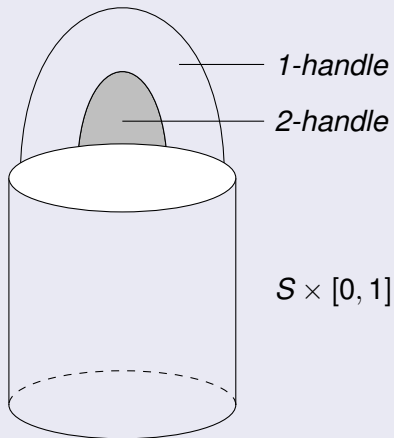
Let W be an n -dimensional compact manifold whose boundary ∂W is the disjoint sum $\partial_0 W \amalg \partial_1 W$. Let $\phi^q: S^{q-1} \times D^{n-q} \rightarrow \partial_1 W$ be an embedding. Let $\psi^{q+1}: S^q \times D^{n-1-q} \rightarrow \partial_1(W + (\phi^q))$ be an embedding. Suppose that $\psi^{q+1}(S^q \times \{0\})$ is transversal to the transverse sphere of the handle (ϕ^q) and meets the transverse sphere in exactly one point.

Then there is a diffeomorphism

$$W \xrightarrow{\cong} W + (\phi^q) + (\psi^{q+1})$$

relative $\partial_0 W$.

Figure (Handle cancellation)



Lemma

Let W be an n -dimensional manifold for $n \geq 6$ whose boundary is the disjoint union $\partial W = \partial_0 W \amalg \partial_1 W$. Then the following statements are equivalent

- 1 The inclusion $\partial_0 W \rightarrow W$ is 1-connected;
- 2 We can find a diffeomorphism relative $\partial_0 W$

$$W \cong \partial_0 W \times [0, 1] + \sum_{i=1}^{p_2} (\phi_i^2) + \sum_{i=1}^{p_3} (\bar{\phi}_i^3) + \cdots + \sum_{i=1}^{p_n} (\bar{\phi}_i^n).$$

Lemma (Normal Form Lemma)

Let $(W; \partial_0 W, \partial_1 W)$ be a compact h -cobordism of dimension $n \geq 6$. Let q be an integer with $2 \leq q \leq n - 3$.

Then there is a handlebody decomposition which has only handles of index q and $(q + 1)$, i.e., there is a diffeomorphism relative $\partial_0 W$

$$W \cong \partial_0 W \times [0, 1] + \sum_{i=1}^{p_q} (\phi_i^q) + \sum_{i=1}^{p_{q+1}} (\phi_i^{q+1}).$$

- Suppose that W is in normal form.
- Let $C_*(\widetilde{W}, \widetilde{\partial_0 W})$ be the $\mathbb{Z}\pi$ -chain complex of the pair of universal coverings of W and $\partial_0 W$. Since W is an h -cobordism, it is acyclic.
- The two non-trivial $\mathbb{Z}\pi$ chain modules comes with $\mathbb{Z}\pi$ -bases determined by the handles.
- Thus the only non-trivial differential is a $\mathbb{Z}\pi$ -isomorphism and is described by an invertible matrix A over $\mathbb{Z}\pi$.
- If A is the empty matrix, then W is diffeomorphic relative $\partial_0 W$ to $\partial_0 W \times [0, 1]$.

- Next we define an abelian group $\text{Wh}(\pi)$ as follows.
- It is the set of equivalence classes of invertible matrices of arbitrary size with entries in $\mathbb{Z}\pi$, where we call an invertible (m, m) -matrix A and an invertible (n, n) -matrix B over $\mathbb{Z}\pi$ equivalent, if we can pass from A to B by a sequence of the following operations:
 - 1 B is obtained from A by adding the k -th row multiplied with x from the left to the l -th row for $x \in \mathbb{Z}\pi$ and $k \neq l$;
 - 2 B is obtained by taking the direct sum of A and the $(1, 1)$ -matrix $I_1 = (1)$, i.e., B looks like the block matrix $\begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix}$;
 - 3 A is the direct sum of B and I_1 ;
 - 4 B is obtained from A by multiplying the i -th row from the left with a trivial unit, i.e., with an element of the shape $\pm\gamma$ for $\gamma \in \pi$;
 - 5 B is obtained from A by interchanging two rows or two columns.
- The sum is given by the block sum, the neutral element is represented by the empty matrix, inverses are given by taking the inverse of a matrix.

Lemma

- 1 Let $(W, \partial_0 W, \partial_1 W)$ be an n -dimensional compact h -cobordism for $n \geq 6$ and A be the matrix defined above. If $[A] = 0$ in $\text{Wh}(\pi)$, then the h -cobordism W is trivial relative $\partial_0 W$;
- 2 Consider an element $u \in \text{Wh}(\pi)$, a closed manifold M of dimension $n - 1 \geq 5$ with fundamental group π and an integer q with $2 \leq q \leq n - 3$. Then we can find an h -cobordism of the shape

$$W = M \times [0, 1] + \sum_{i=1}^{p_q} (\phi_i^q) + \sum_{i=1}^{p_{q+1}} (\phi_i^{q+1})$$

such that $[A] = u$.

- The idea of proof of the lemma above is to realize any of the operations on A geometrically by modifying the handle body decomposition geometrically. These are
 - 1 **handle slides**;
 - 2 Adding trivially a pair of q -handle and a $q + 1$ -handle.
 - 3 Deleting a pair of a q -handle and a $q + 1$ -handle using the Elimination Lemma.
 - 4 Changing the orientation of a handle and the lift of it to the universal coverings.
 - 5 Changing the enumeration of the handles.

- The handle slide is possible and has the desired effect due to the following lemma which we state without further explanations.

Lemma (Modification Lemma)

Let $f: S^q \rightarrow \partial_1^\circ W_q$ be an embedding and let $x_j \in \mathbb{Z}\pi$ be elements for $j = 1, 2, \dots, p_{q+1}$. Then there is an embedding $g: S^q \rightarrow \partial_1^\circ W_q$ with the following properties:

- 1 f and g are isotopic in $\partial_1 W_{q+1}$;
- 2 For a given lift $\tilde{f}: S^q \rightarrow \widetilde{W}_q$ of f one can find a lift $\tilde{g}: S^q \rightarrow \widetilde{W}_q$ of g such that we get in $C_q(\widetilde{W})$

$$[\tilde{g}] = [\tilde{f}] + \sum_{j=1}^{p_{q+1}} x_j \cdot d_{q+1}[\phi_j^{q+1}],$$

where d_{q+1} is the $(q+1)$ -th differential in $C_*(\widetilde{W}, \partial_0 \widetilde{W})$.

- We give a different more conceptual definition of the abelian group $\text{Wh}(\pi)$ later.
- By definition the matrix A from above determines an element in $\text{Wh}(\pi)$, which turns out independent of the choice of the normal form and hence gives a well-defined element in $\text{Wh}(\pi)$ depending only the diffeomorphism type of W relative $\partial_0 W$.
- Actually, this element can be described intrinsically by the so called **Whitehead torsion**.
- Putting these statements together, finishes the proof of the s -Cobordism Theorem.

Definition (K_1 -group $K_1(R)$)

Define the K_1 -group of a ring R

$$K_1(R)$$

to be the abelian group whose generators are conjugacy classes $[f]$ of automorphisms $f: P \rightarrow P$ of finitely generated projective R -modules with the following relations:

- Given an exact sequence $0 \rightarrow (P_0, f_0) \rightarrow (P_1, f_1) \rightarrow (P_2, f_2) \rightarrow 0$ of automorphisms of finitely generated projective R -modules, we get $[f_0] + [f_2] = [f_1]$;
- $[g \circ f] = [f] + [g]$.

- $K_1(R)$ is isomorphic to $GL(R)/[GL(R), GL(R)]$.
- An invertible matrix $A \in GL(R)$ can be reduced by **elementary row and column operations** and **(de-)stabilization** to the trivial empty matrix if and only if $[A] = 0$ holds in the **reduced K_1 -group**

$$\tilde{K}_1(R) := K_1(R)/\{\pm 1\} = \text{cok}(K_1(\mathbb{Z}) \rightarrow K_1(R)).$$

- If R is commutative, the determinant induces an epimorphism

$$\det: K_1(R) \rightarrow R^\times,$$

which in general is not bijective.

- The assignment $A \mapsto [A] \in K_1(R)$ can be thought of the **universal determinant for R** .

Definition (Whitehead group)

The **Whitehead group** of a group G is defined to be

$$\text{Wh}(G) = K_1(\mathbb{Z}G) / \{\pm g \mid g \in G\}.$$

Lemma

We have $\text{Wh}(\{1\}) = \{0\}$.

Proof.

- The ring \mathbb{Z} possesses an **Euclidean algorithm**.
- Hence every invertible matrix over \mathbb{Z} can be reduced via elementary row and column operations and destabilization to a $(1, 1)$ -matrix (± 1) .
- This implies that any element in $K_1(\mathbb{Z})$ is represented by ± 1 .



- Let G be a finite group. Let F be \mathbb{Q} , \mathbb{R} or \mathbb{C} .
- Define $r_F(G)$ to be the number of irreducible F -representations of G .
- The Whitehead group $\text{Wh}(G)$ is a finitely generated abelian group of rank $r_{\mathbb{R}}(G) - r_{\mathbb{Q}}(G)$.
- The torsion subgroup of $\text{Wh}(G)$ is the kernel of the map $K_1(\mathbb{Z}G) \rightarrow K_1(\mathbb{Q}G)$.
- In contrast to $\tilde{K}_0(\mathbb{Z}G)$ the Whitehead group $\text{Wh}(G)$ is computable.

Exercise (Non-vanishing of $\text{Wh}(\mathbb{Z}/5)$)

Using the ring homomorphism $f: \mathbb{Z}[\mathbb{Z}/5] \rightarrow \mathbb{C}$ which sends the generator of $\mathbb{Z}/5$ to $\exp(2\pi i/5)$ and the norm of a complex number, define a homomorphism of abelian groups

$$\phi: \text{Wh}(\mathbb{Z}/5) \rightarrow \mathbb{R}^{>0}.$$

Show that the class of the unit $1 - t - t^{-1}$ in $\text{Wh}(\mathbb{Z}/5)$ is an element of infinite order.



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