

## Holonomic D-modules

- Last time: filtration on  $\mathcal{D}_x$  (locally)  
 $F_m \mathcal{D}_x = \{P \in \mathcal{D}_x \mid P \text{ has order } \leq m\}$   $\rightsquigarrow$  can be globalized.

- $F_m(\mathcal{D}_x) = 0$  for  $m < 0$
- $F_m(\mathcal{D}_x) = \{P \in \mathcal{D}_x \mid [P, \mathcal{O}_x] \subset F_{m-1}(\mathcal{D}_x)\}$
- $F_0(\mathcal{D}_x) = \mathcal{O}_x$  &  $F_1(\mathcal{D}_x) = T_x$  (tgt sheaf)

- $F_{m_1}(\mathcal{D}_x) \cdot F_{m_2}(\mathcal{D}_x) \subset F_{m_1+m_2}(\mathcal{D}_x)$
- $[F_{m_1}(\mathcal{D}_x), F_{m_2}(\mathcal{D}_x)] \subset F_{m_1+m_2-1}(\mathcal{D}_x)$

Form  $\text{Gr}^F(\mathcal{D}_x)$ ; commutative ring.

$\text{Gr}_0^F(\mathcal{D}_x) = \mathcal{O}_x$  &  $\text{Gr}_1^F(\mathcal{D}_x) = T_x$ , so  $T_x \rightarrow \text{Gr}^F(\mathcal{D}_x)$  is  $\mathcal{O}_x$ -linear.

So,  $S_{\mathcal{O}_x}^*(T_x) \rightarrow \text{Gr}^F(\mathcal{D}_x)$

Locally, is  $\mathcal{O}_x \otimes \mathbb{C}[\xi_1, \dots, \xi_n]$ ;  $\xi_i \mapsto \partial_i$

And  $\text{Gr}^F(\mathcal{D}_x) = \bigoplus_{\alpha \in \mathbb{Z}_{\geq 0}^n} \mathcal{O}_x \partial_x^\alpha$

Principal symbol map:  $\sigma_m: F_m(\mathcal{D}_x) \rightarrow \text{Gr}_m^F(\mathcal{D}_x) \subseteq \text{Gr}^F(\mathcal{D}_x)$

$$\sum_{|\alpha| \leq m} a_\alpha(x) \partial_x^\alpha \mapsto \sum_{|\alpha| = m} a_\alpha(x) \xi^\alpha \quad \begin{matrix} \cong \\ S_{\mathcal{O}_x} T_x \end{matrix}$$

$\pi: T^*X \rightarrow X$ ;  $S_{\mathcal{O}_x} T_x = \pi_x^* \mathcal{O}_{T^*X}$ ; sections of this  $\Leftrightarrow$  regular functions on cotangent bundle.

&  $\{-, -\}$  Poisson bracket on  $T^*X$  from canonical symplectic structure on  $T^*X$

Locally:  $P \in F_m, Q \in F_n$ ;  $\sigma([P, Q]) = \{\sigma(P), \sigma(Q)\}$

Start with non-comm  $\mathcal{D}_X \rightarrow$  commutative, but  $\{-, -\}$  "remembers" some of the non-commutativity.

Characteristic variety:

$M$  coherent  $\mathcal{D}$ -module,  $M$  is locally gen. by  $u_1, \dots, u_N$   
 $F_m(M) = \sum_{\nu=1}^N F_m(\mathcal{D}_X) \cdot u_\nu$  filtration

Defn:  $M \in \mathcal{D}_X\text{-mod}$  is called filtered if  $\exists$  subsheaves  $\{F_m(M)\}$  s.t.:

-  $F_i \subseteq F_{i+1}$ , -  $F_i = 0$  for  $i \ll 0$ ,  $M = \cup F_i$ ,  $(F_i \mathcal{D}_X)(F_j M) \subseteq F_{i+j} M$ .

This is "good" if  $F_i M$  coherent over  $\mathcal{D}_X$ , and  $(F_j \mathcal{D}_X)(F_i M) = F_{i+j} M$  for  $i \gg 0$ .

[Fact:  $M$  coherent over  $\mathcal{D}_X \Leftrightarrow \exists$  good filtration]

Let  $F$  be a coherent  $\mathcal{D}_X$ -module  $M$ . Choose a good filtration  
 Support of  $\text{Gr}^F M$  regarded as coherent  $\text{Gr} \mathcal{D}_X$ -module is closed subvariety of  $T^*X$ , called char. variety  $\text{Ch}(M)$

-  $\text{Ch}(M)$  indep. of choice of good filtration (?) - though the sheaf depends on it.

E.g.  $X = \mathbb{C} = \mathbb{A}^1$ ;  $\lambda \in \mathbb{C}$ ; consider  $z \cdot \frac{df}{dz} = \lambda f$

$\mathcal{M}_\lambda = \mathcal{D} / \mathcal{D}(z - \lambda)$ ;  $E u = z \partial$ ;  $\mathcal{D} = \langle z^p E^q, \partial^p E^q \mid p, q \in \mathbb{Z} \rangle_{\mathbb{C}}$

$\mathcal{D}(z - \lambda) = \langle z^p \partial^q (E - \lambda), \partial^p E^q (E - \lambda) \rangle_{\mathbb{C}}$

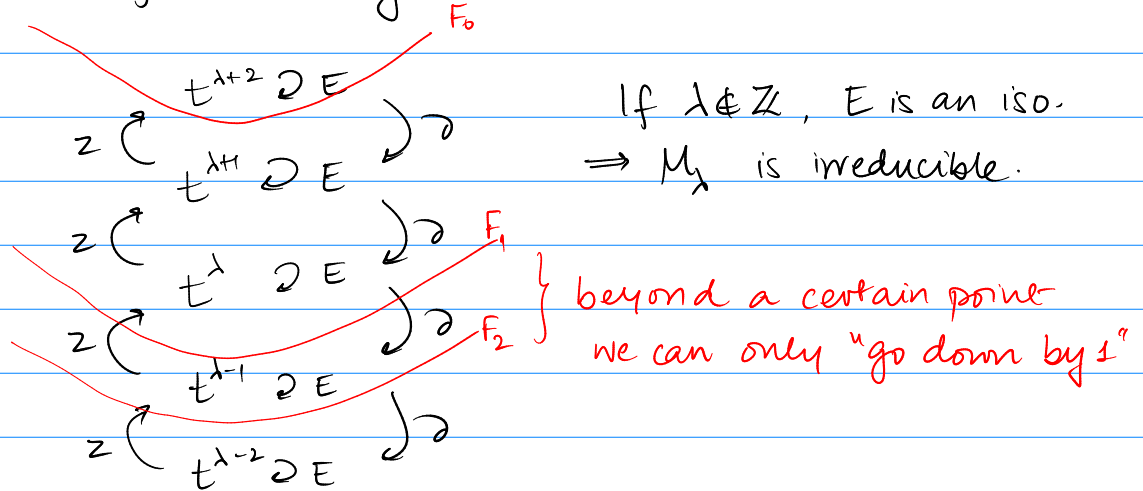
$\mathcal{M}_\lambda = \langle z^p, \partial^p \rangle$  &  $[E, z] = z \partial z - z^2 \partial = z$

$\{ [E, \partial] = z\partial^2 - \partial z\partial = -\partial.$

So:  $Ez = z(E+1)$  &  $E\partial = \partial(E-1)$

&  $z^n$  is an eigenvector of  $E$  with eigenvalue  $\lambda+n$ .  
 $\partial^n$  " " " " " " " "  $\lambda-n$ .

Let  $t^\lambda :=$  ev of  $E$  w/ eigenvalue  $\lambda$



If  $\lambda \notin \mathbb{Z}$ ,  $E$  is an iso.  
 $\Rightarrow M_\lambda$  is irreducible.

Or:  $F_m = 0$  for  $m < 0$

$F_0 = \langle t^{\lambda+p} \mid p \geq 0 \rangle$

$F_k = \langle t^{\lambda+p} \mid p \geq -k \rangle$

$Gr_0 M_\lambda = \langle t^{\lambda+p} \mid p \geq 0 \rangle$

$Gr_p M_\lambda = \langle z^{\lambda-p} \rangle \text{ mod } \langle z^{p+q} \mid q > -p \rangle$   $p > 0$

$\text{Ann}(Gr M_\lambda) \subset Gr \mathcal{D}_X ?$

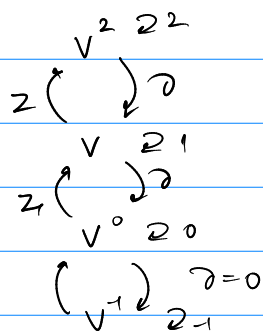
-  $z$  annihilates all  $Gr_p M_\lambda$  for  $p \neq 0$

$\partial$  annihilates almost all of  $Gr_0 M_\lambda$

$z \cdot \xi$  annihilates everything :

$\text{Ch}(M_\lambda) = \{ z \xi = 0 \} = \text{---} \subset \mathbb{C}^2$

Assume  $\lambda = -n \in \mathbb{Z}_+$ ; choose a basis of eigenvectors  $v^m$   
 $z \cdot v^m = v^{m+1}$



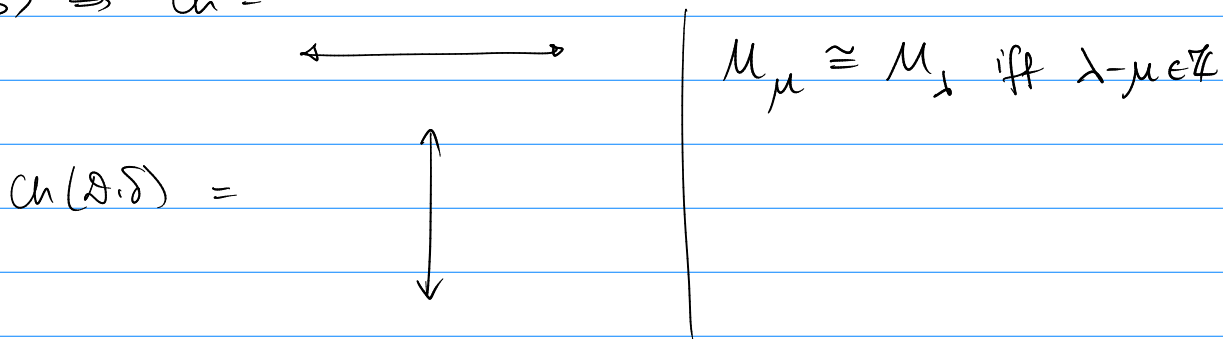
$$\partial v^m = \partial z v^{m-1} = [\partial, z] v^{m-1} + E v^{m-1} = m v^{m-1}$$

$$0 \rightarrow \mathbb{C}[x] \rightarrow \mathbb{C}[x, x^{-1}] \rightarrow \mathbb{C}[x, x^{-1}] / \mathbb{C}[x] \rightarrow 0$$

"  $\mathcal{D} \cdot \mathcal{D}$

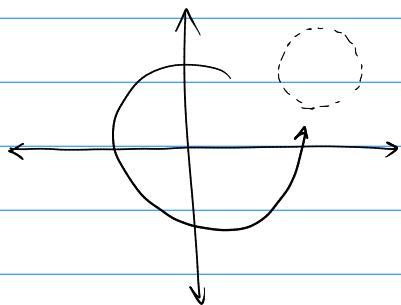
$\text{Ch}(\mathbb{C}[x]) \leftarrow$  good filtration  $F_0 = \mathbb{C}[x]$  &  $F_k = \mathbb{C}[x] \neq 0 \forall k \geq 0$

$\text{Gr}(\mathbb{C}[x]) =$  concentrated in degree zero & has annihilator  $\langle \xi \rangle \Rightarrow \text{Ch} =$



Rmk: Char. variety of  $\mathcal{M}$ , a coherent  $\mathcal{D}_x$ -mod = zero section of  $T^*X$  iff  $\mathcal{M}$  already coherent. ( $\cong$  vector bundle + flat conn.)

$$x \cdot \frac{\partial f}{\partial x} = \lambda \cdot f(x) \rightsquigarrow f(x) = e^{\lambda \cdot (\log x)}$$



$$\text{get } e^{\lambda \cdot (\log x + 2\pi i)}$$

$$\& e^{\lambda \cdot 2\pi i} = 1 \text{ iff } \lambda \in \mathbb{Z}$$

simple  $\mathcal{D}$ -module  $\rightsquigarrow$  non-trivial monodromy.

Thm (Bernstein inequality): Let  $F \neq 0$  be a coherent  $\mathcal{D}_X$ -module  
 Then  $\dim \text{ch}(F) \geq \dim X$ .

$$\text{Ch}(\mathcal{D}_X) = T^*X.$$

Define: A coherent  $\mathcal{D}_X$ -module  $M \neq 0$  is called holonomic if  
 the dimension of  $\text{ch}(M) = \text{dimension of } X$ .

Rmk: If  $\text{Ch}(M)$  "small",  $\iff$  we have many principal symbols  
 $\iff$  many partial diff. operators  $\iff$  less solutions of  $Pu=0$ .

Regular holonomic  $\mathcal{D}$ -modules:

Say  $X \subseteq \mathbb{C}$  open;  $P(x, \partial)$  ordinary diff op of order  $m$ .

$$P = \sum_{k=0}^m a_k(x) \partial_x^k \quad | \quad M = \mathcal{D}/\mathcal{D}P$$

If  $a_m(x_0) \neq 0$ , then locally  $M = \mathcal{O}_X^m$   
 ie  $m$  linearly indep. solutions of  $Pu=0$ .

But if  $a_m(x_0) = 0$ , then  $\text{Hom}_{\mathcal{D}_X}(M, \mathcal{O}_{x_0})$  loc. const sheaf on  $U \setminus \{x_0\}$   
 but monodromy may cause problems. (ie locally around  $x_0$ )

Thm: The following are equivalent: [say  $a_m(x_0) = 0$ ]

- 1) Let  $r = \text{ord}_{x=x_0}(a_m(x))$ ; then  $\text{ord}_{x=x_0}(a_j(x)) \geq r - (m-j)$
- 2)  $Pu = 0$  has  $m$  linearly indep solutions of the form  
 $(x-x_0)^\lambda \sum_{j=0}^s u_j(x) (\log(x-x_0))^j$ ;  $s \in \mathbb{Z}_{\geq 0}$ ;  $\lambda \in \mathbb{C} \neq \mathbb{Z}$  &  $u_j(x)$   
holomorphic

Defn: If  $x_0$  fulfils one of the statements, then  $x_0$  is called  
 a regular singularity

Say  $x_0 = 0$ , regular singularity of  $P$ .

$\rightarrow$  replace  $P$  by  $x^r P$  or  $\partial^r P \rightsquigarrow$  to assume  $r = m$ .

$$P = \sum_{j=0}^m c_j(x) x^j \partial^j; \quad c_m(0) \neq 0; \quad \text{may assume } c_m(0) = 1.$$

$$P = (x\partial)^m + \sum_{j=0}^{m-1} c_j(x) (x\partial)^j; \quad \text{but } x^i \partial^i = (x\partial)(x\partial-1) \dots (x\partial-j+1)$$

Define F.M.  $M = \mathcal{D}/\mathcal{D}P = \mathcal{D}u; \quad u=1 \pmod{\mathcal{D}P}$

$$F_k M = \sum_{j=0}^{m-1} F_k(\mathcal{D}) (x\partial)^j u$$

$$(x\xi) \cdot F_k M \subseteq F_k M \quad \text{ie } (x\xi) \cdot (\text{Gr } M) = 0$$

In 1d situation: we always have  $(x\xi)^N \cdot \text{Gr } M = 0 \quad N \gg 0$   
But condition  $\leftrightarrow N=1$

Defn:  $M$  (holonomic module) is called regular holonomic if it admits a <sup>good</sup> filtration st.  $\mathcal{I} \cdot \text{Gr } M = 0$  where  $\mathcal{I} = (\text{radical})$  ideal of char. variety.

E.g.  $\text{Sol}(Z^2\partial - 1) : e^{1/z}$  not a regular singularity

$$\mathcal{D}_{\text{rh}}^b(\mathcal{D}_X) \xrightarrow{\sim} \mathcal{D}_c^b(\mathbb{C}_{X^{\text{an}}})$$

$$M \longmapsto \text{RHom}_{\mathcal{D}_{X^{\text{an}}}}(\mathcal{O}_{X^{\text{an}}}, M^{\text{an}})$$

(can also have  $\mathcal{D}_h^b(\mathcal{D}_X)$ )